Chapter 2 : Basic Structures: Sets, Functions, Sequences, Sums, and Matrices

Lecture 6:

1. Sets: Subsets, Power Sets, Truth Sets and Quantifiers
2. Set Operations , Set Identities, Computer Representation of Sets

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2.1 Sets

DEFINITION 1 A *set* is an unordered collection of objects, called *elements* or *members* of the set. A set is said to *contain* its elements. We write $a \in A$ to denote that a is an element of the set A. The notation $a \notin A$ denotes that a is not an element of the set A.

EXAMPLE 1 The set V of all vowels in the English alphabet can be written as $V = \{a, e, i, o, u\}$.

EXAMPLE 2 The set O of odd positive integers less than 10 can be expressed by $O = \{1, 3, 5, 7, 9\}$.

EXAMPLE 3 The set of positive integers less than 100 can be denoted by $\{1, 2, 3, \ldots, 99\}$.

These sets, each denoted using a boldface letter, play an important role in discrete mathematics:

$\mathbf{N} = \{0, 1, 2, 3, \ldots\}$, the set of **natural numbers**
$\mathbf{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$, the set of **integers**
$\mathbf{Z}^+ = \{1, 2, 3, \ldots\}$, the set of **positive integers**
$\mathbf{Q} = \{p/q \mid p \in \mathbf{Z}, q \in \mathbf{Z}, \text{and } q \neq 0\}$, the set of **rational numbers**
$\mathbf{R}$, the set of **real numbers**
$\mathbf{R}^+$, the set of **positive real numbers**
$\mathbf{C}$, the set of **complex numbers**.
$\mathbf{Q}^+ = \{x \in \mathbf{R} \mid x = \frac{p}{q}, \text{ for some positive integers } p \text{ and } q\}$.

Recall the notation for **intervals** of real numbers. When a and b are real numbers with $a < b$, we write

$$[a, b] = \{x \mid a \leq x \leq b\}$$

$$[a, b) = \{x \mid a \leq x < b\}$$

$$(a, b] = \{x \mid a < x \leq b\}$$

$$(a, b) = \{x \mid a < x < b\}$$

Note that $[a, b]$ is called the **closed interval** from a to b and (a, b) is called the **open interval** from a to b.

DEFINITION 2 Two sets are *equal* if and only if they have the same elements. Therefore, if A and B are sets, then A and B are equal if and only if $\forall x(x \in A \leftrightarrow x \in B)$. We write $A = B$ if A and B are equal sets.

EXAMPLE 4 The sets $\{1, 3, 5\}$ and $\{3, 5, 1\}$ are equal, because they have the same elements. Note that the order in which the elements of a set are listed does not matter. Note also that it does not matter if an element of a set is listed more than once, so $\{1, 3, 3, 3, 5, 5, 5, 5\}$ is the same as the set $\{1, 3, 5\}$ because they have the same elements. ◀

THE EMPTY SET There is a special set that has no elements. This set is called the **empty set**, or **null set**, and is denoted by $\emptyset$. The empty set can also be denoted by $\{\ \}$ (that is, we represent

The empty set is the set with no elements. Symbolized by $\emptyset$ or $\{\}$.

The empty set is different from the set containing the empty set $\emptyset \neq \{\emptyset\}$

Venn Diagrams

Sets can be represented graphically using Venn diagrams,

EXAMPLE 5 Draw a Venn diagram that represents V, the set of vowels in the English alphabet.

Solution: We draw a rectangle to indicate the universal set U, which is the set of the 26 letters of the English alphabet. Inside this rectangle we draw a circle to represent V. Inside this circle we indicate the elements of V with points (see Figure 1). ◀

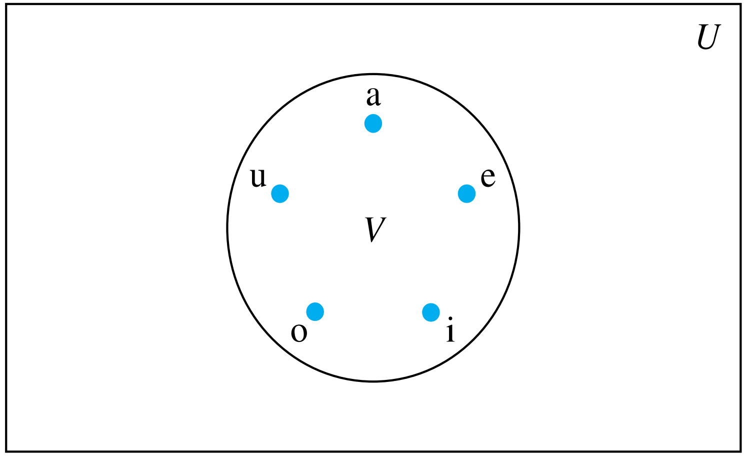

FIGURE 1 **Venn Diagram for the Set of Vowels.**

Subsets

DEFINITION 3 The set A is a *subset* of B if and only if every element of A is also an element of B. We use the notation $A \subseteq B$ to indicate that A is a subset of the set B.

We see that $A \subseteq B$ if and only if the quantification

$$\forall x(x \in A \to x \in B)$$

is true. Note that to show that A is not a subset of B we need only find one element $x \in A$ with $x \notin B$. Such an x is a counterexample to the claim that $x \in A$ implies $x \in B$.

We have these useful rules for determining whether one set is a subset of another:

EXAMPLE 6 The set of all odd positive integers less than 10 is a subset of the set of all positive integers less than 10, the set of rational numbers is a subset of the set of real numbers, the set of all computer science majors at your school is a subset of the set of all students at your school, and the set of all people in China is a subset of the set of all people in China (that is, it is a subset of itself). Each of these facts follows immediately by noting that an element that belongs to the first set in each pair of sets also belongs to the second set in that pair. ◀

Showing that A is a Subset of B To show that $A \subseteq B$, show that if x belongs to A then x also belongs to B.

Showing that A is Not a Subset of B To show that $A \not\subseteq B$, find a single $x \in A$ such that $x \notin B$.

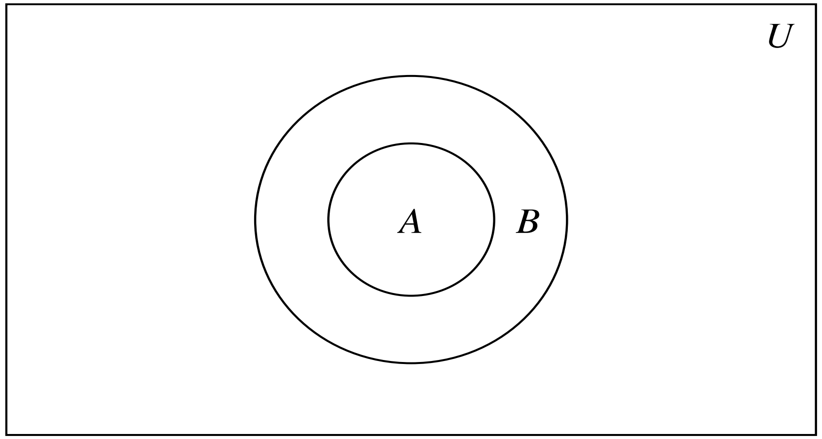

FIGURE 2 **Venn Diagram Showing that A Is a Subset of B.**

THEOREM 1 For every set S, $(i)\ \emptyset \subseteq S$ and $(ii)\ S \subseteq S$.

Two sets A and B are equal iff they have the same elements. Formally: $A = B \leftrightarrow A \subseteq B \wedge B \subseteq A$.

E.g., $\{1, 5, 5, 5, 3, 3, 1\} = \{1, 3, 5\} = \{3, 5, 1\}$.

Showing Two Sets are Equal To show that two sets A and B are equal, show that $A \subseteq B$ and $B \subseteq A$.

Sets may have other sets as members. For instance, we have the sets

$$A = \{\emptyset, \{a\}, \{b\}, \{a, b\}\} \quad \text{and} \quad B = \{x \mid x \text{ is a subset of the set } \{a, b\}\}.$$

Note that these two sets are equal, that is, $A = B$. Also note that $\{a\} \in A$, but $a \notin A$.

A is a **proper subset** of B iff $A \subseteq B$ and $A \neq B$. This is denoted by $A \subset B$.

$A \subset B$ can be expressed by

$$\forall x(x \in A \rightarrow x \in B) \wedge \exists x(x \in B \wedge x \notin A)$$

The Size of a Set

DEFINITION 4 Let S be a set. If there are exactly n distinct elements in S where n is a nonnegative integer, we say that S is a *finite set* and that n is the *cardinality* of S. The cardinality of S is denoted by $|S|$.

EXAMPLE Let A be the set of odd positive integers less than 10. Then $|A| = 5$.

EXAMPLE Let S be the set of letters in the English alphabet. Then $|S| = 26$.

EXAMPLE Because the null set has no elements, it follows that $|\emptyset| = 0$.

DEFINITION 5 A set is said to be *infinite* if it is not finite.

EXAMPLE The set of positive integers is infinite.

Power Sets

DEFINITION 6 Given a set S, the *power set* of S is the set of all subsets of the set S. The power set of S is denoted by $\mathcal{P}(S)$.

EXAMPLE What is the power set of the set $\{0, 1, 2\}$?

Solution: The power set $\mathcal{P}(\{0, 1, 2\})$ is the set of all subsets of $\{0, 1, 2\}$. Hence,

$$\mathcal{P}(\{0, 1, 2\}) = \{\emptyset, \{0\}, \{1\}, \{2\}, \{0, 1\}, \{0, 2\}, \{1, 2\}, \{0, 1, 2\}\}.$$

Note that the empty set and the set itself are members of this set of subsets.

EXAMPLE What is the power set of the empty set? What is the power set of the set $\{\emptyset\}$?

Solution: The empty set has exactly one subset, namely, itself. Consequently,

$$\mathcal{P}(\emptyset) = \{\emptyset\}.$$

The set $\{\emptyset\}$ has exactly two subsets, namely, $\emptyset$ and the set $\{\emptyset\}$ itself. Therefore,

$$\mathcal{P}(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}.$$

If a set has n elements, then its power set has 2^n elements.

Cartesian Products

DEFINITION 7

The *ordered n-tuple* $(a_1, a_2, \ldots, a_n)$ is the ordered collection that has a_1 as its first element, a_2 as its second element, ..., and a_n as its nth element.

DEFINITION 8

Let A and B be sets. The *Cartesian product* of A and B, denoted by $A \times B$, is the set of all ordered pairs (a, b), where $a \in A$ and $b \in B$. Hence,

$$A \times B = \{(a, b) \mid a \in A \land b \in B\}.$$

EXAMPLE

Let A represent the set of all students at a university, and let B represent the set of all courses offered at the university. What is the Cartesian product $A \times B$ and how can it be used?

Solution: The Cartesian product $A \times B$ consists of all the ordered pairs of the form (a, b), where a is a student at the university and b is a course offered at the university. One way to use the set $A \times B$ is to represent all possible enrollments of students in courses at the university. ◀

EXAMPLE What is the Cartesian product of $A = \{1, 2\}$ and $B = \{a, b, c\}$?

Solution: The Cartesian product $A \times B$ is

$$A \times B = \{(1, a), (1, b), (1, c), (2, a), (2, b), (2, c)\}.$$

Note that the Cartesian products $A \times B$ and $B \times A$ are not equal, unless $A = \emptyset$ or $B = \emptyset$

EXAMPLE Show that the Cartesian product $B \times A$ is not equal to the Cartesian product $A \times B$, where A and B are as in Example 17.

Solution: The Cartesian product $B \times A$ is

$$B \times A = \{(a, 1), (a, 2), (b, 1), (b, 2), (c, 1), (c, 2)\}.$$

The Cartesian product of more than two sets can also be defined.

DEFINITION 9

The *Cartesian product* of the sets $A_1, A_2, \ldots, A_n$, denoted by $A_1 \times A_2 \times \cdots \times A_n$, is the set of ordered n-tuples $(a_1, a_2, \ldots, a_n)$, where a_i belongs to A_i for $i = 1, 2, \ldots, n$. In other words,

$$A_1 \times A_2 \times \cdots \times A_n = \{(a_1, a_2, \ldots, a_n) \mid a_i \in A_i \text{ for } i = 1, 2, \ldots, n\}.$$

EXAMPLE

What is the Cartesian product $A \times B \times C$, where $A = \{0, 1\}$, $B = \{1, 2\}$, and $C = \{0, 1, 2\}$?

Solution: The Cartesian product $A \times B \times C$ consists of all ordered triples (a, b, c), where $a \in A$, $b \in B$, and $c \in C$. Hence,

$$\begin{aligned} A \times B \times C = \{&(0, 1, 0), (0, 1, 1), (0, 1, 2), (0, 2, 0), (0, 2, 1), (0, 2, 2), \\ &(1, 1, 0), (1, 1, 1), (1, 1, 2), (1, 2, 0), (1, 2, 1), (1, 2, 2)\}. \end{aligned}$$

◀

Remark: Note that when A, B, and C are sets, $(A \times B) \times C$ is not the same as $A \times B \times C$

We use the notation A^2 to denote $A \times A$, the Cartesian product of the set A with itself. Similarly, $A^3 = A \times A \times A$, $A^4 = A \times A \times A \times A$, and so on. More generally,

$$A^n = \{(a_1, a_2, \ldots, a_n) \mid a_i \in A \text{ for } i = 1, 2, \ldots, n\}.$$

EXAMPLE Suppose that $A = \{1, 2\}$. It follows that $A^2 = \{(1, 1), (1, 2), (2, 1), (2, 2)\}$ and $A^3 = \{(1, 1, 1), (1, 1, 2), (1, 2, 1), (1, 2, 2), (2, 1, 1), (2, 1, 2), (2, 2, 1), (2, 2, 2)\}$. ◀

Using Set Notation with Quantifiers

Sometimes we restrict the domain of a quantified statement explicitly by making use of a particular notation. For example, $\forall x \in S(P(x))$ denotes the universal quantification of $P(x)$ over all elements in the set S. In other words, $\forall x \in S(P(x))$ is shorthand for $\forall x(x \in S \rightarrow P(x))$. Similarly, $\exists x \in S(P(x))$ denotes the existential quantification of $P(x)$ over all elements in S. That is, $\exists x \in S(P(x))$ is shorthand for $\exists x(x \in S \wedge P(x))$.

EXAMPLE What do the statements $\forall x \in \mathbf{R}\ (x^2 \geq 0)$ and $\exists x \in \mathbf{Z}\ (x^2 = 1)$ mean?

Solution: The statement $\forall x \in \mathbf{R}(x^2 \geq 0)$ states that for every real number x, $x^2 \geq 0$. This statement can be expressed as "The square of every real number is nonnegative." This is a true statement.

The statement $\exists x \in \mathbf{Z}(x^2 = 1)$ states that there exists an integer x such that $x^2 = 1$. This statement can be expressed as "There is an integer whose square is 1." This is also a true statement because $x = 1$ is such an integer (as is -1). ◀

Truth Sets and Quantifiers

We will now tie together concepts from set theory and from predicate logic. Given a predicate P, and a domain D, we define the **truth set** of P to be the set of elements x in D for which $P(x)$ is true. The truth set of $P(x)$ is denoted by $\{x \in D \mid P(x)\}$.

EXAMPLE What are the truth sets of the predicates $P(x)$, $Q(x)$, and $R(x)$, where the domain is the set of integers and $P(x)$ is "$|x| = 1$," $Q(x)$ is "$x^2 = 2$," and $R(x)$ is "$|x| = x$."

Solution: The truth set of P, $\{x \in \mathbf{Z} \mid |x| = 1\}$, is the set of integers for which $|x| = 1$. Because $|x| = 1$ when $x = 1$ or $x = -1$, and for no other integers x, we see that the truth set of P is the set $\{-1, 1\}$.

The truth set of Q, $\{x \in \mathbf{Z} \mid x^2 = 2\}$, is the set of integers for which $x^2 = 2$. This is the empty set because there are no integers x for which $x^2 = 2$.

The truth set of R, $\{x \in \mathbf{Z} \mid |x| = x\}$, is the set of integers for which $|x| = x$. Because $|x| = x$ if and only if $x \geq 0$, it follows that the truth set of R is $\mathbf{N}$, the set of nonnegative integers. ◀

Note that $\forall x P(x)$ is true over the domain U if and only if the truth set of P is the set U. Likewise, $\exists x P(x)$ is true over the domain U if and only if the truth set of P is nonempty.

We fix a domain U.

- Let $P(x)$ be a predicate on U. The truth set of P is the subset of U where P is true.

$$\{x \in U \mid P(x)\}$$

- Let $S \subseteq U$ be a subset of U. The characteristic predicate of S is the predicate P that is true exactly on S, i.e.,

$$P(x) \leftrightarrow x \in S$$